



Policy on conflict of interest

Disclosure of Conflict of Interest

- All key research personnel involved in research sponsored by NIH (USA) or other funding agencies that require conflict of interest disclosure are expected to manage their outside obligations, financial interests, and activities in a way that avoids conflicts or interference with their work commitments related to the Faculty of Associated Medical Sciences, Chiang Mai University.
- The Disclosure Form must be completed annually
- Investigators must notify the Institutional Official within 30 days of acquiring or discovering (e.g., through purchase, marriage, or inheritance) any new Significant Financial Interest.

Significant Financial Interests

The following Significant Financial Interests must be disclosed:

- With regard to any publicly traded entity, the value of any remuneration received from the entity in the twelve months preceding the disclosure and the value of any equity interest in the entity as of the date of disclosure, when aggregated, exceeds \$5,000. For purposes of this definition, remuneration includes salary and any payment for services not otherwise identified as salary (e.g., consulting fees, honoraria, paid authorship); equity interest includes any stock, stock option, or other ownership interest, as determined through reference to public prices or other reasonable measures of fair market value.
- With regard to any non-publicly traded entity, the value of any remuneration received from the entity in the twelve months preceding the disclosure, when aggregated, exceeds \$5,000, or when the Investigator (or the Investigator's spouse or dependent children) holds any equity interest (e.g., stock, stock option, or other ownership interest).
- Intellectual property rights and interests (e.g., patents, copyrights), upon receipt of income related to such rights and interests.
- The occurrence of any reimbursed or sponsored travel (i.e., that which is paid on behalf of the Investigator and not reimbursed to the Investigator so that the exact monetary value may not be readily available), related to their institutional responsibilities; provided, however, that this disclosure requirement does not apply to travel that is reimbursed or sponsored by a federal, state, or local government agency, an Institution of higher education as defined at 20 U.S.C. 1001(a), an

academic teaching hospital, a medical center, or a research institute that is affiliated with an Institution of higher education.

Significant Financial Interests do not include:

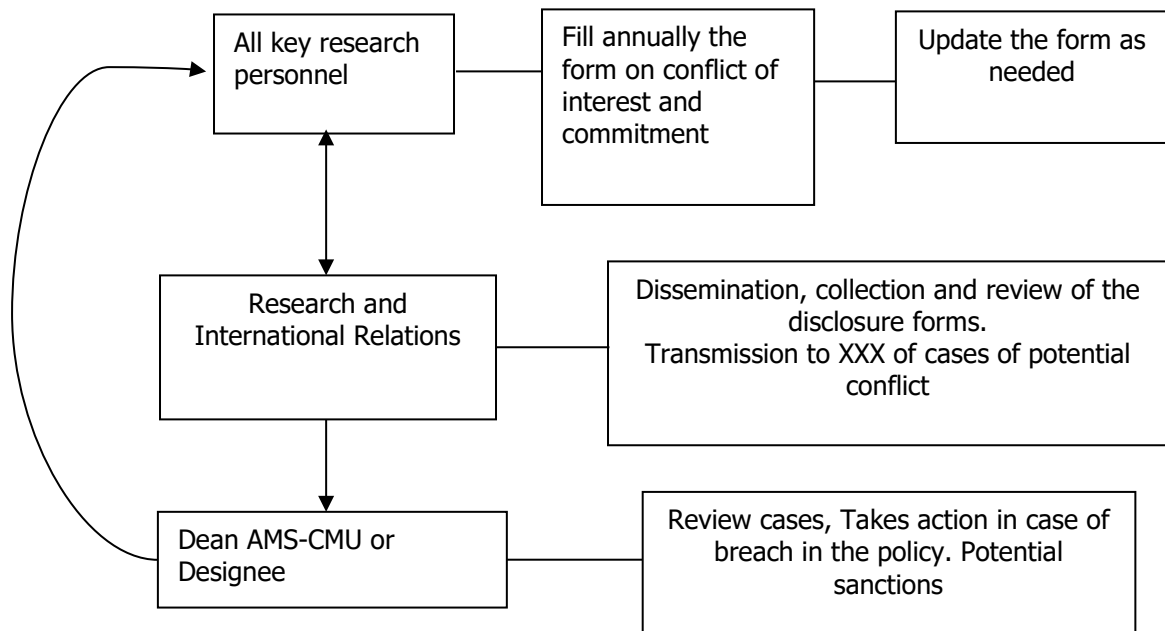
- Financial interests in business enterprises or entities that, when aggregated for the investigator and his/her immediate family, meet both of the following tests:
 - The financial interest does not exceed \$5000 in value as determined through reference to public prices or other reasonable measures of fair market value, and
 - The financial interest does not represent more than a five percent ownership interest in any single entity
- Salary, royalties, or other remuneration from Chiang Mai University (or the institution that currently employs any non-Chiang Mai University key personnel).
- Salary, royalties, or other payments that, when aggregated for the investigator and his/her immediate family, are not expected to exceed \$5000 during the next 12-month period.
- Income from seminars, lectures, or teaching engagements sponsored by a Federal, state, or local government agency, an Institution of higher education as defined at 20 U.S.C. 1001(a), an academic teaching hospital, a medical center, or a research institute that is affiliated with an Institution of higher education.
- Income from service on advisory committees or review panels for a Federal, state, or local government agency, an Institution of higher education as defined at 20 U.S.C. 1001(a), an academic teaching hospital, a medical center, or a research institute that is affiliated with an Institution of higher education.
- Income from investment vehicles, such as mutual funds and retirement accounts, as long as the Investigator does not directly control the investment decisions made in these vehicles.

DISCLOSURE PROCESS

Submission of Disclosure Forms

- All key research personnel involved in research sponsored by NIH (USA) or other funding agencies that require conflict of interest disclosure are required to complete and submit a disclosure form on an annual basis. Updated forms must be submitted throughout the year if changes arise which a member of staff believes may either: (a) give rise to a conflict of interest, or (b) eliminate a conflict previously disclosed.
- Research and International Relations, Faculty of Associated Medical Sciences, Chiang Mai University is responsible for the dissemination, collection and review of the disclosure forms

- Disclosure forms should be submitted to Research and International Relations. The disclosure forms will be considered strictly confidential, and it will be the responsibility of the Research and International Relations to ensure that the information disclosed in the forms is available only to the individuals duly charged with the responsibility for review.
- The Dean, Faculty of Associated Medical Sciences, Chiang Mai University or designee will decide if there is or not conflict of interest or commitment and will take decision for conflict resolution including possible sanctions.



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